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FILE #: 05986/10DM536-US

DATE: 12/15/06

NUMBER TRANSMITTING TO: 571-273-0459

RECIPIENT: PCT Legal Dept

COMPANY: Patent & Trademark Office

FROM: Flynn Barrison

NO. OF PAGES TRANSMITTING  
(INCLUDING COVER SHEET): 12

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Customer No.: 07278 Docket No.: 05986/100M536-US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of: Thomas Wisniewski et. al.**

**Serial No.:** 10/558,276

Filed: November 18, 2005

## For: MUCOSAL IMMUNIZATION TO PREVENT PRION INFECTION

**REQUEST FOR CORRECTED FILING RECEIPT  
AND NOTICE OF ACCEPTANCE**

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

- Attached are copies of the official filing receipt and Notice of Acceptance received from the PTO in the above application for which issuance of a corrected filing receipt and Notice of Acceptance is respectfully requested.
  - There is an error with respect to the following data, which is:

incorrectly entered  
 omitted.

Error in	Omitted data
<input type="checkbox"/> Applicant's name	
<input type="checkbox"/> Applicant's address	
<input type="checkbox"/> Title	
<input type="checkbox"/> Filing Date	
<input type="checkbox"/> Application Number	
<input checked="" type="checkbox"/> Domestic Priority data as claimed by applicant	This Application is a 371 of PCT/US04/16242 05/20/2004 and claims benefit of 60/472,262 5/20/2003
<input checked="" type="checkbox"/> Notice of Acceptance - Priority Date	05/20/2003

REMARKS

The from the Filing Receipt and Notice of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.495. Enclosed please find a copy of the Application Data Sheet

Marked up copies of the Filing Receipt and Notice of Acceptance are also enclosed.

The Commissioner is respectfully requested to issue new and correct Filing Receipt and Notice of Acceptance documents.

Respectfully submitted,

Dated: December 14, 2006

  
\_\_\_\_\_  
Flynn Barrison  
Reg. No. 53,970  
Agent for Applicant(s)

DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
212-527-7700



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/558,276	11/18/2005	1648	1050	05986/100M536-US1	5	50	3

07278  
 DARBY & DARBY P.C.  
 P. O. BOX 5257  
 NEW YORK, NY 10150-5257

CONFIRMATION NO. 3691

## FILING RECEIPT



\*OC000000021525980\*

Date Mailed: 12/08/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas Wisniewski, Staten Island, NY;  
 Einar M. Sigurdsson, New York, NY;  
 Jose A. Chabalgoity, Montevideo, URUGUAY;  
 Fernando R. Goni, Montevideo, URUGUAY;  
 Blas Frangione, New York, NY;

## Assignment For Published Patent Application

New York University, New York, NY

Power of Attorney: The patent practitioners associated with Customer Number 07278.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/16242 05/20/2004

## Foreign Applications

If Required, Foreign Filing License Granted: 12/07/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/558,276

Projected Publication Date: 03/15/2007

Non-Publication Request: No

Computer \_\_\_\_\_  
 D&D Entry \_\_\_\_\_  
 Looseleaf \_\_\_\_\_  
 Folder \_\_\_\_\_  
 Card \_\_\_\_\_  
 Letter \_\_\_\_\_  
 Foreign F.I.g. \_\_\_\_\_

**Early Publication Request: No**

**\*\* SMALL ENTITY \*\***

**Title**

Mucosal immunization to prevent prion infection

**Preliminary Class**

435

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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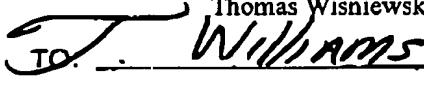
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/558,276	Thomas Wisniewski 	05986/100MS36-US1
07278 DARBY & DARBY P.C. P. O. BOX 5257 NEW YORK, NY 10150-5257	ATTY REVIEWED _____ DATE: _____	INTERNATIONAL APPLICATION NO. PCT/US04/16242 I.A. FILING DATE   PRIORITY DATE 05/20/2004
<b>CONFIRMATION NO. 3691</b> <b>371 ACCEPTANCE LETTER</b>  *OC0000000021525981*		

Date Mailed: 12/08/2006

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

11/18/2005

11/18/2006

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
(c)(4) REQUIREMENTSDATE OF COMPLETION OF ALL 35 U.S.C. 371  
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).** Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 11/18/2005
- Copy of the International Search Report filed on 11/18/2005
- Preliminary Amendments filed on 11/18/2005
- Information Disclosure Statements filed on 11/18/2005
- Biochemical Sequence Diskette filed on 11/18/2005
- Oath or Declaration filed on 11/18/2005
- Biochemical Sequence Listing filed on 11/18/2005
- U.S. Basic National Fees filed on 11/18/2005
- Assignment filed on 11/18/2005
- Specification filed on 11/18/2005

- Claims filed on 11/18/2005
  - Abstracts filed on 11/18/2005
  - Drawings filed on 11/18/2005
  - Paper nucleotide sequence listings filed on 11/18/2005
- 

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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TAMALA D HOLLAND  
Telephone: (703) 308-9140 EXT 209

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PART 1 - ATTORNEY/APPLICANT COPY

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FORM PCT/DO/EO/903 (371 Acceptance Notice)

**Application Data Sheet****Application Information**

Application Type:: Regular  
Subject Matter:: Utility  
Suggested Group Art Unit:: N/A  
CD-ROM or CD-R?:: None  
Sequence submission?:: Paper  
Computer Readable Form (CRF)?:: Yes  
Number of copies of CRF:: 1  
Title:: MUCOSAL IMMUNIZATION TO PREVENT PRION INFECTION  
Attorney Docket Number:: 05986/100M536-US1  
Request for Early Publication?:: No  
Request for Non-Publication?:: No  
Suggested Drawing Figure:: 1  
Total Drawing Sheets:: 5  
Small Entity?:: Yes  
Petition included?:: No  
Secrecy Order in Parent Appl.?:: No

**Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
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State or Province of mailing address:: NY  
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Applicant Authority Type:: Inventor  
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Country of Residence:: Uruguay  
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Ap 101  
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Country of mailing address:: Uruguay  
Postal or Zip Code of mailing address:: 11600

Applicant Authority Type:: Inventor  
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Family Name:: Goni

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**Country of mailing address::** Uruguay  
**Postal or Zip Code of mailing address::** 11300

**Applicant Authority Type::** Inventor  
**Primary Citizenship Country::** US  
**Status::** Full Capacity  
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**State or Province of Residence::** NY  
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**Street of mailing address::** 330 East 38th Street, Apt. 35B  
**City of mailing address::** New York  
**State or Province of mailing address::** NY  
**Postal or Zip Code of mailing address::** 10016

#### **Correspondence Information**

**Correspondence Customer Number::** 07278

#### **Representative Information**

**Representative Customer Number::** 07278

#### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/US04/16242	05/20/04
PCT/US04/16242	An application claiming the benefit under 35 USC 119(e)	60/472,262	05/20/03

**Foreign Priority Information****Assignee Information**

Assignee name:: New York University  
Street of mailing address:: 70 Washington Square South  
City of mailing address:: New York  
State or Province of mailing address:: NY  
Postal or Zip Code of mailing address:: 10012

\*\*\*\*\*  
\*\*\* RX REPORT \*\*\*  
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RECEPTION OK

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